

INVENTION DISCLOSURE FORM

WARNING: Due to the confidential nature of this document, save it as a password protected document. Do not send this document through GroupWise.

This is a WORD Template form. Press enter or tab to move to each field. Please fill out this form as completely as possible. If the allotted space is not sufficient, use a separate sheet. Have your manager sign the form and forward it to the Patent Section of the Law Department, MS301. Please attach any drawings and technical descriptions that are available and assemble copies of the background articles, books, advertisements, etc. for use by your patent attorney.

| 1. | Inventor(s) | Employee | Mail | | |
|----|-----------------|------------|------------|---|--|
| | Full Name(s) | Number | Stop | Home Address (Include Zip Code) | |
| | Douglas Stephen | Hine 13745 | B230_ | 3647 Willow Lane White Bear Lake MN 55110 | |
| | John Louis Somm | ner 2380 | B252 | 12788 Ibis Street NW, Coon Rapids, MN 55448 | |
| | Dr John Gurley | N/A | <u>N/A</u> | · | |

- 2. Title of Invention: Pacing lead with multiple electrodes having one IS-1 compatible connector pin
- 3. Summary of the Invention: This invention covers a pacing lead design with multiple electrodes on a lead having one connector pin that fits into any IS-1 header cavity. This invention offers mulitple pacing and sensing options (selectable electrodes) with various polarity configuations for programming a device for optimal threshold performance, optimal sensing performance, eliminating phrenic nerve stimulation, or optimizing device therapy. An electrode pair is selected at implant during routine pacing tests (threshold, sensing, phrenic nerve stim) by using alligator clips on two of the four exposed connector rings. The connector pin is smaller in diameter than IS-1 and segmented such that each of the four exposed rings is connected to a distal electrode. Once a pair is selected, a metallic cap (IS-1 size) is placed over the smaller segmented connector pin. When the cap is placed over the segmented pin, it insulates two of the segments and makes electrical contact with the other two segmented rings. This creates a biploar arrangment. The metallic IS-1 cap can be removed at a later date and replaced with a different IS-1 cap to "select" a different bipole. Before today, this could not be done with one IS-1 compatible connector pin. This could have multiple applications including left heart right heart and epicardial pacing systems.
- 4. How have others addressed this problem (List and attach any patents, books, articles, devices, Medtronic or competitor's products, or other background materials you used or which may be prior art)? <u>Guidant has done studies on multi polar LV electrode design. However, it appears to be an acute study only without IS-1 compatibility. This could be done using a split connector having two IS-1 connectors.</u>
- 5. The invention is described on pages 7-10 of Lab Notebook No. 10672 (Please attach copy).
- 6. When was a device built which included the invention? NA

Who built it? NA Where is it? NA

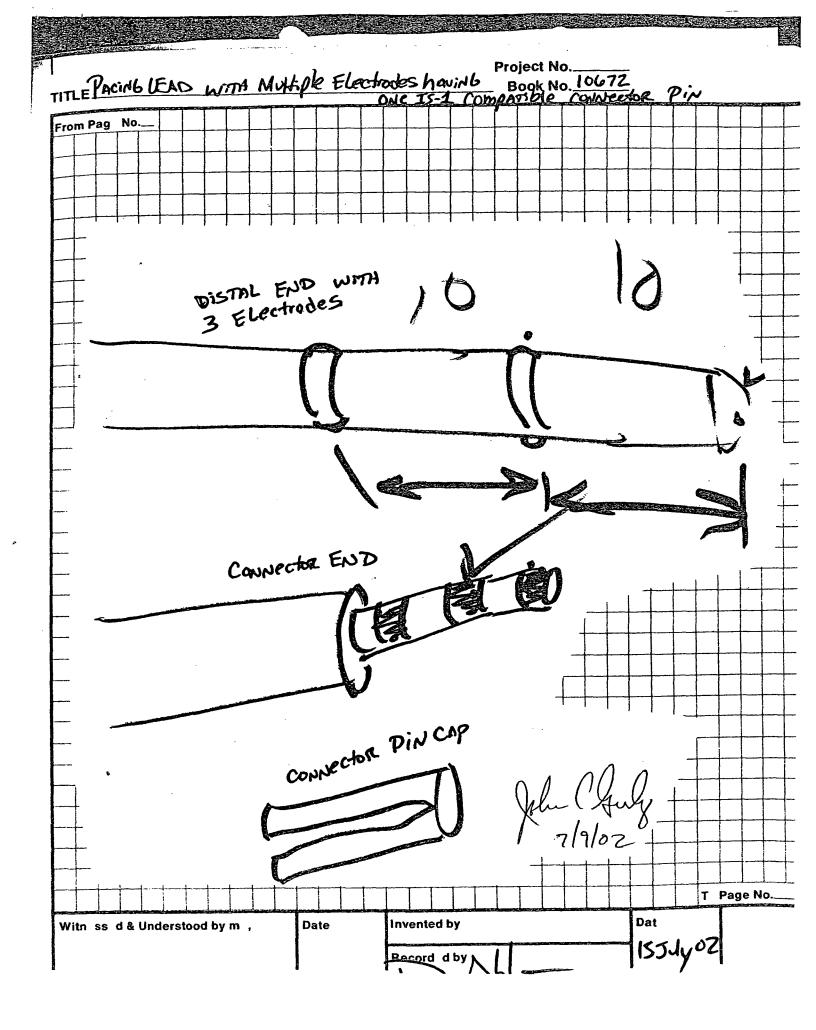
Who has supporting documents? NA

Who witnessed tests? NA When and where? NA

- 7. Discuss the problems which the invention is designed to solve, referring to any prior devices of a similar nature with which you may be familiar. This concept addresses the issue
- 8. State the advantages of the invention over presently known devices, systems or processes. This invention allows one lead to be used with one connector pin that fits into any IS-1 header. This allows universal use of a selectable bipole lead with todays pacing systems. No change to the pacing generator header or circuitry is needed.
- 9. List all known and other possible uses for the invention. Pacing and sensing, including left heart, right heart, epicardial leads.
- Specifically describe the invention and its operation. You may use and attach copies of sketches, prints, photographs and illustrations which should be signed, witnessed and dated. Use numbers and descriptive names in descriptions and drawings. See attached lab notebook pages.

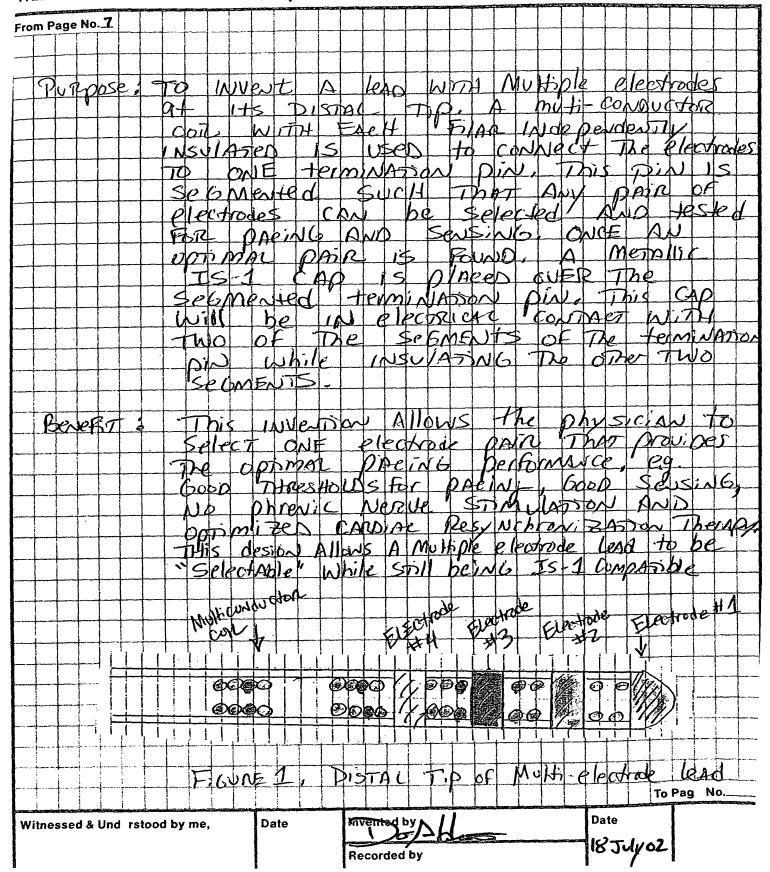
| implant or during repositiong. 12. Sale or Publication (Needed to establish the date of any printed publication, public use or sale, since no U. S. patent application may be filed after one year from such date.) a. If a device has been offered, or will be offered for sale, or used for profit or otherwise publicly disclosed, state when and to whom delivered and how used? b. Has a printed description of this invention been made available to persons outside the company? How and when and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? Dr. John Gurley is a co-inventor. It was discussed with him on July 9, 2002 at an offsite meeting. 13. Inventor(s) Signature(s) (REQUIRED): Signature On the product of the substitution of the products, plans or goals? MULTIP OLUR. A CONSPIGURATIONS MAY FAY THE IMPORTANT POUR IN THE MEAR I LONG TERM FUTURE. THIS INVENTIONS AND WS SELECTION OF POURDLY CONFIGURATIONS WIS RATIONS WIS RATIONS WIS CHARGES. Signature (REQUIRED) TO DEVICE Signature (REQUIRED) TO DEVICE Manager's Printed Name Jack Germanson Mail Stop B230 | 11. | List all features of the invention that a elieved to be novel. Selectable electrodes on lead having one IS-1 compatible | | | | | |
|--|-----|--|--|--|--|--|--|
| 12. Sale or Publication (Needed to establish the date of any printed publication, public use or sale, since no U. S. patent application may be filed after one year from such date.) a. If a device has been offered, or will be offered for sale, or used for profit or otherwise publicly disclosed, state when and to whom delivered and how used? b. Has a printed description of this invention been made available to persons outside the company? How and when and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? Dr. John Gurley is a co-inventor. It was discussed with him on July 9, 2002 at an offsite meeting. 13. Inventor(s) Signature(s) (REQUIRED): Signature Off MUUTIPLE ENERTHOOF How is this invention important to your products, plans or goals? MULTIP OLAR A COMMISCORTIONS MAY FIAN THE IMPORTANT POLE IN HAT - CPT IN THE NEAR & LONG TERM FUTIPE. THIS INVENTION AND WS SELECTION OF POLARLAY COMMISCORTIONS WIS CHARGES Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | • | connector pin. An IS-1 connector pin cap that can be placed over a segmented pin that allows selectable electrodes at | | | | | |
| application may be filed after one year from such date.) a. If a device has been offered, or will be offered for sale, or used for profit or otherwise publicly disclosed, state when and to whom delivered and how used? b. Has a printed description of this invention been made available to persons outside the company? How and when and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? Dr. John Gurley is a co-inventor. It was discussed with him on July 9, 2002 at an offsite meeting. 13. Inventor(s) Signature(s) (REQUIRED): Date Oct O2 Signature Analoge's Comments How is this invention important to your products, plans or goals? MULTIP OLAR. A CONFIGURATIONS MY FIRM THE IMPORTANT POLE IN HIF - CRIT IN THE NEAR LONG TERM FUTPE. THIS INVENTION ALLOWS SELECTION OF POLITICH Manager's Signature (REQUIRED) TO DEVICE Signature Mail Stop B230 | | implant or during repositiong. | | | | | |
| and to whom delivered and how used? b. Has a printed description of this invention been made available to persons outside the company? How and when and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? Dr. John Gurley is a co-inventor. It was discussed with him on July 9, 2002 at an offsite meeting. 13. Inventor(s) Signature(s) (REQUIRED): Signature April Date April Date April Date April Pour Date Manager's Comments 14. How is this invention important to your products, plans or goals? MULTIP OUNT A CHAPTION SMAP PMM THE IMPORTANT POUR IN HF - CPT IN THE NEAR LONG TERM FUTURE THIS INVENTION AND WS SELECTION OF POURRY CONFIGURATIONS WIS CHANGES Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | 12. | Sale or Publication (Needed to establish the date of any printed publication, public use or sale, since no U. S. patent application may be filed after one year from such date.) | | | | | |
| and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? Dr. John Gurley is a co-inventor. It was discussed with him on July 9, 2002 at an offsite meeting. 13. Inventor(s) Signature(s) (REQUIRED): Date Date Date Oct 02 Signature AND WITH ELECTRON Manager's Comments 14. How is this invention important to your products, plans or goals? MULTIP OLAR ^ COLFIGURATIONS MAY PLAY THE IMPORTANT POUR IN HIF - CRIT IN THE NEAR LONG TERM FUTTINE THIS INVENTION AND WS SELECTION OF POURLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | | | | | | |
| Signature John & Sommer Date Date Oct 02 6R MUTIPLE EVENTROOF 14. How is this invention important to your products, plans or goals? MULTIP OLAZ ^ CONFIGURATIONS MAY PLAY THE IMPORTANT POLE IN HF - CRT IN THE NEAR & LONG TERM FUTURE. THIS INVENTION AND WS SENECTION OF POLITICAL CONFIGURATIONS WIO CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE 31 OCT 180 No. 180 N | | and was use restricted (e.g. licensing agreement, non-disclosure agreement, proprietary legends, etc.)? <u>Dr. John</u> | | | | | |
| Signature John & Sommer Date Date Oct 02 6R MUTIPLE EVENTROOF 14. How is this invention important to your products, plans or goals? MULTIP OLAZ ^ CONFIGURATIONS MAY PLAY THE IMPORTANT POLE IN HF - CRT IN THE NEAR & LONG TERM FUTURE. THIS INVENTION AND WS SENECTION OF POLITICAL CONFIGURATIONS WIO CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE 31 OCT 180 No. 180 N | 13. | vinventor(s) Signature(s) (REQUIRED): | | | | | |
| Manager's Comments 14. How is this invention important to your products, plans or goals? MULTIP OLAR ^ COLLEGORATIONS MAY PAY THE IMPORTANT POLE IN HF - CPT IN THE NEAR & LONG TERM FUTURE. THIS INVENTION ALLO WS SELECTION OF POLARRY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | 20 7:11.02 | | | | | |
| Manager's Comments 14. How is this invention important to your products, plans or goals? MULTIP OLAR ^ COLLEGORATIONS MAY PAY THE IMPORTANT POLE IN HF - CPT IN THE NEAR & LONG TERM FUTURE. THIS INVENTION ALLO WS SELECTION OF POLARRY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | 19 304 Oct | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | Signature Date Date | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | - John Dommer 15 Oct 02 | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | Manager's Comments | | | | | |
| MULTIPOLAR CONFIGURATIONS MAY PAY THE IMPORTANT FORE TO THE NEAR & LONG TERM FUTTER THIS INVENTION ALLOWS SELECTION OF POLARLY CONFIGURATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | GE MUTITLE ELEUROPE | | | | | |
| HF - CRT IN THE NEAR & LONG TERM FUTTRE THIS INVENTION AUD WS SELECTION OF POURELY CONFIGRRATIONS WIS CHANGES 15. Manager's Signature (REQUIRED) TO DEVICE Signature Date Manager's Printed Name Jack Germanson Mail Stop B230 | 14. | How is this invention important to your products, plans or goals? | | | | | |
| 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | MULTIPOLINE NOBPIGORATIONS MAY THAT THE INTOCATION FOOL IT | | | | | |
| 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | HF - CRT IN THE NEAR & LONG TERM FUTTRE. THIS INVENTION | | | | | |
| 15. Manager's Signature (REQUIRED) TO DEVICE Signature Manager's Printed Name Jack Germanson Mail Stop B230 | | AUDIOS SPORTATION OF TOURDING SONP TO HORIZONS | | | | | |
| Signature Manager's Printed Name <u>Jack Germanson</u> Mail Stop <u>B230</u> | 15. | Manager's Signature (REQUIRED) TO DEVICE | | | | | |
| Signature Date Manager's Printed Name <u>Jack Germanson</u> Mail Stop <u>B230</u> | | talk denam 31 oct \$ 02 | | | | | |
| Manager's Printed Name <u>Jack Germanson</u> Mail Stop <u>B230</u> | | | | | | | |
| | | Organical Control Cont | | | | | |
| Business Unit Cardiac Rhythm Management Therapy Delivery | | Manager's Printed Name <u>Jack Germanson</u> Mail Stop <u>B230</u> | | | | | |
| | | Business Unit Cardiac Rhythm Management Therapy Delivery | | | | | |

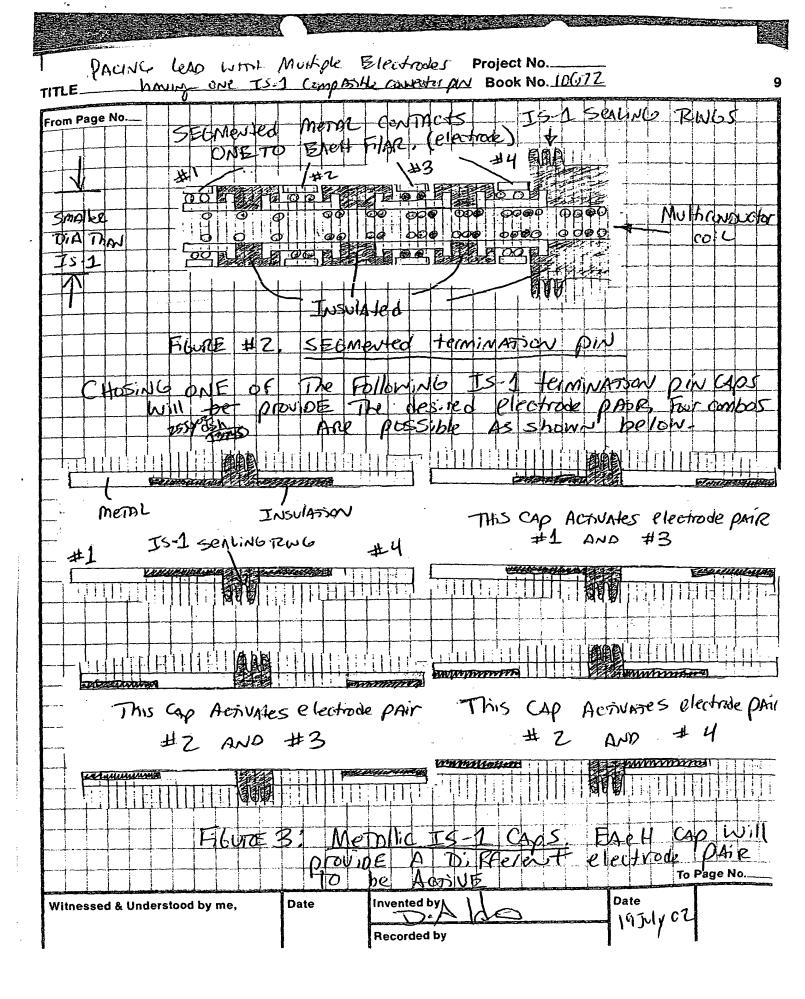
Manager: Please forward to Patent Section of Law Department, MS 301, upon completion of your review.



PACING LEAD WITH Multiple Electrodes Project No.________

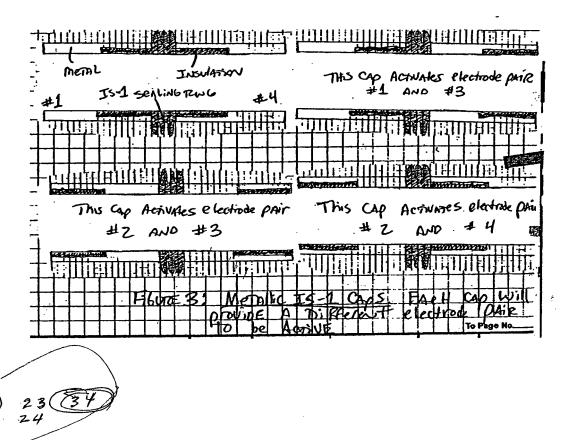
TILE Having one IS-1 comparible connector pin Book No. 10672





<u>P-11138</u> "Pacing Lead With Multiple Electrodes Having One IS-1 Compatible Connector Pin" Hine, Sommer, Gurley

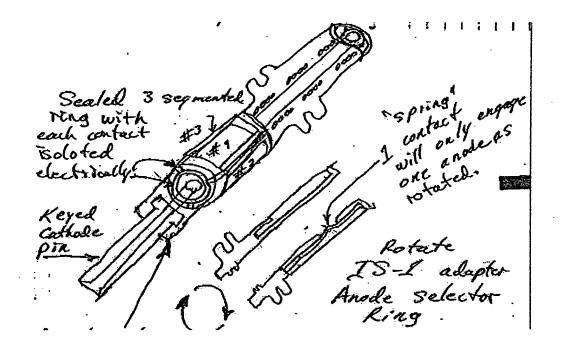
Describes an adaptor cap which selects an anode to be used with multi-anode leads. The cap allows the lead to be used with an IS-1 connector.



Prior Art

1. P-11139 "Selectable Electrical Connection" Sommer, Hine

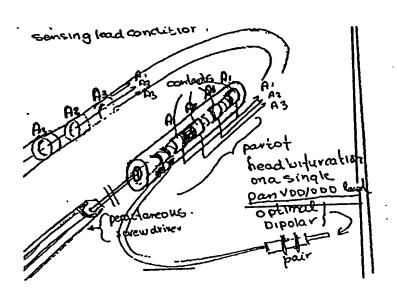
Describes an IS-1 adaptor which uses a spring-mounted contact to select between multiple anodes on a lead. This allows multi anode leads to be used with a standard IS-1 connector block.



<u>P-11138</u> continued

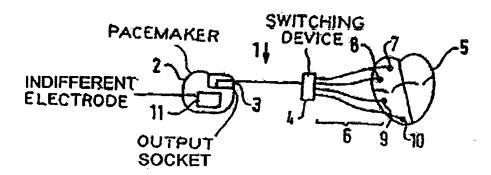
2. P-3563 "A Percutaneous Switch To Select Optimal Sensing Lead Conditions" Bakels et al

Percutaneously adjustable rotating cam selects bipolar lead connections from multiple atrial electrode.



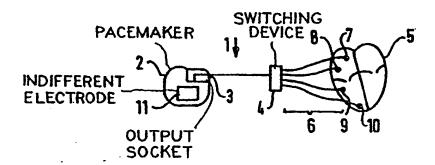
3. P-4227 "Intermediate In-Line Programmable Multi-Channel Selector For Multi-Site Sequential Pacing" Struble

A multichannel selector is disclosed that controls/directs pacing stimulus to the correct lead system. Device is incorporated between pacer and lead systems.



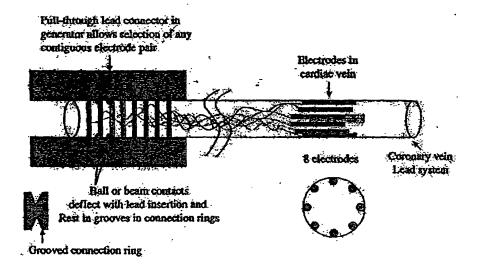
4. 5,423,873 "Device For Stimulating Living Tissue" Neubauer et al (Siemens Elema AB)

Describes a programmable switching device placed between the IPG and lead.



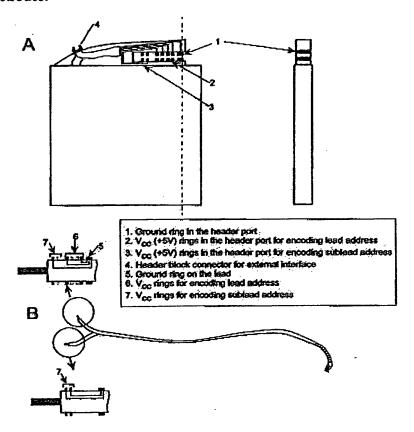
5. P-9797 "Focused Delivery Coronary Venous Electrode" Duffin, Brostrom

Connects an output circuit to a selected pair of electrodes chosen by the position of the lead connector pin within the connector block.



6. P-9569 ""Automatic Lead Identification System for ICDs" Sharma, Whitman, Bonner

Lead identification based upon a unique binary code set via proximal lead ring electrodes.



7. P-9124"Multiple Electrode-Array with Incorporated Switch to Select Stimulation Site" Heynen, Lokhoff, Houben

Epicardial electrode array that may have electrode configuration selected/controlled by a coded signal from an implanted device or external box.

